

B2100A (Form 2100A) (12/15)

## United States Bankruptcy Court

### Southern District Of New York

In re Lehman Brothers Holdings, Inc., Case No. 08-13555 (JMP)

#### **TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Bank Julius Baer & Co. Ltd.

Name of Transferee

Name and Address where notices to transferee should be sent:

Patrik Roos  
Bank Julius Baer & Co. Ltd.  
Bahnofstrasse 36  
CH-8010 Zurich, Switzerland

Phone: + 41 58 887 7336

Last Four Digits of Acct #: n/a

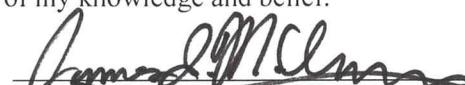
Name and Address where transferee payments should be sent (if different from above):

(same as above)

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

DAVIS POLK & WARDWELL LLP as counsel for Transferee

Date: 5/24/17

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)  
Court ID (Court Use Only) \_\_\_\_\_

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Bank Julius Baer & Co. Ltd	<u>Name of Transferor:</u> DBS BANK (HONG KONG) LIMITED
Notices to Transferee should be sent to:	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor  DBS BANK (HONG KONG) LIMITED Wealth Management Operations 14/F, One Island East 18 Westlands Road Quarry Bay, Hong Kong Attn: Head of Wealth Management Ops Tel: +852 36681880 Email: pbohksecur_ut@dbs.com
<u>Amount of Claim Being Transferred:</u>  \$ _____  OR  <u>See Attached</u> (face amount of securities)	
<u>Court Claim No. (if known):</u> 50152	
<u>Date Claim Filed:</u> October 27, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_ Date: \_\_\_\_\_

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571.* As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

Evidence of Transfer

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (*the "Debtor"*)

Case Name and Number: *In re Lehman Brothers Holdings Inc.*, No. 08-13555 (JMP)

Proof of Claim Number: 50152 (*the "Proof of Claim"*)

Portion of the Claim Subject to Transfer (*the "Transferred Claim"*):

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Interest LN, Lehman Bros Tres, Mat: 11-FEB-2018, Cpn 8.1% if 3L 0-7,CMS30-2>=0	XS0345213283	LEHMAN BROTHERS TREASURY CO.BV	LEHMAN BROTHERS HOLDINGS INC	USD 200,000

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

DBS BANK (HONG KONG) LIMITED ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Name & ADDRESS OF THE TRANSFeree

Bank Julius Baer & Co. Ltd

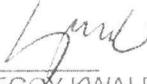
("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 20 4. 2014.

DBS BANK (HONG KONG) LIMITED  
Transferor

By:    
Name: STEPHEN WING ON LEUNG / PEGGY KWAI FONG YUEN  
Title: Senior Associate / Senior Associate

ACKNOWLEDGED BY:

Bank Julius Baer & Co. Ltd  
Transferee

By: \_\_\_\_\_  
Name:  
Title: